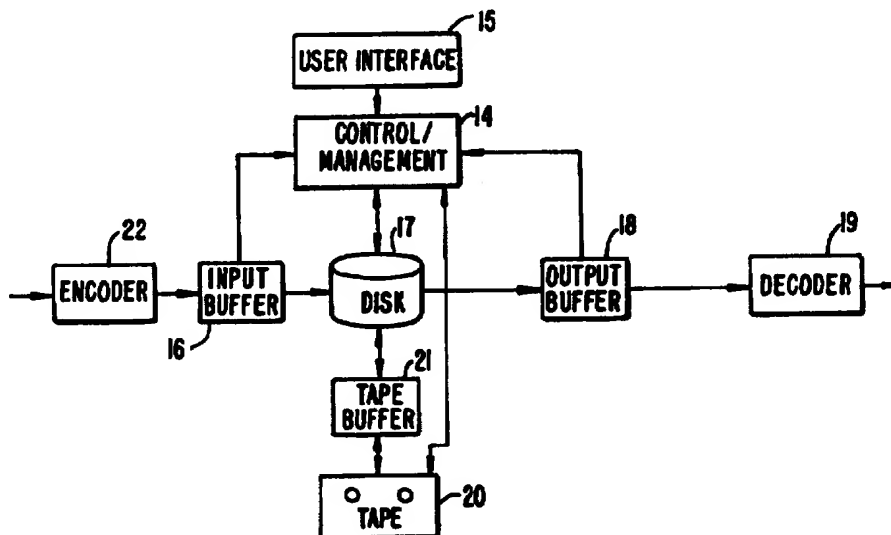




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(54) Title: AN IN-HOME DIGITAL VIDEO UNIT WITH COMBINED ARCHIVAL STORAGE AND HIGH-ACCESS STORAGE



## (57) Abstract

The present invention discloses an improved digital home video system providing recording and playback of compressed video programs using an archival storage medium; simultaneous recording and playback using the same archival medium; storage of multiple programs on a single videotape; a full array of trick mode functions; efficient management of the contents of a video tape or other archival storage medium; and real-time random access to video program content, enabling truly interactive playback. These capabilities are provided by combining the best features of an archival storage medium such as digital video tape: namely, potentially large storage capacity, but low tolerance for variable data rate, and essentially linear program access; with the complementary features of a relatively high-access storage device such as a fixed disk drive: namely, tolerance for a highly variable data rate, and random access capability, but relatively lower storage capacity.

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**AN IN-HOME DIGITAL VIDEO UNIT WITH COMBINED  
ARCHIVAL STORAGE AND HIGH-ACCESS STORAGE**

**FIELD OF THE INVENTION**

5       The present invention relates to in-home recording, storage, and playback of digital video program content.

**BACKGROUND OF THE INVENTION**

10       People in the United States spend roughly 7.5 billion dollars annually to rent movies and other pre-recorded video programming for private playback at their convenience. Such video programming can be distributed in several forms, such as analog video tapes (and more recently, digital video tape) for playback using a video cassette recorder ("VCR"); analog laser discs for playback on laser disc players; or digital compact discs  
15       for playback using either personal computers or else special-purpose compact disc player machines.

20       Present video playback systems are limited in several respects. Current systems offer relatively limited storage capacity, typically holding the equivalent of a single, feature-length movie on a single disc or tape. Digital video tape offers theoretically greater capacity, if aggressive data compression schemes are used. However, such compression has generally not  
25       been used with digital video tapes, because this greatly complicates the implementation of trick mode functions

such as slow motion, fast forward, and fast and slow motion reverse.

For example, most of today's VCRs, which use helical scanning, cannot restore and playback the entire video  
5 signal if the playback speed is varied either slower or faster than normal. In addition, if the signal is highly compressed, then the loss of even a single bit could result in highly visible artifacts persisting for half a second or longer. Although it is possible to effectively  
10 implement trick modes when playing back highly compressed video signals, this requires careful selection of bits to be preserved and bits to be discarded. This type of selectivity is not possible with existing VCR technology without seriously compromising the performance of the VCR  
15 player.

Because of this inability to take advantage of high compression ratios, physical storage requirements discourage individuals from maintaining large selections of titles in their own home. Moreover, rental  
20 establishments face fierce competition among video titles for limited shelf space, and consumers are often frustrated at being unable to find a copy of the particular titles they seek. A related problem is that current systems cannot conveniently access multiple  
25 programs within a user's library, since each program typically resides on a physically separate disc or tape. Therefore, each time a different title or program is desired, the user must physically locate and load the desired tape or disc. In addition, if the selected tape  
30 contains more than one program, then the user may also need to search through the tape to find the beginning of the desired program. Clearly, an improved storage and distribution scheme for video programming is desirable.

Recording video programs in the home presents  
35 further problems for current technologies. Many people

use VCRs to record broadcast or cable presentations for later viewing, in essence "time shifting" a program for perusal at their convenience. Similarly, viewers may watch one broadcast or cable program while simultaneously recording another for later viewing. Read-only discs (such as compact discs and laser discs) are inherently unsuitable for such recording. Consumer VCRs therefore utilize magnetic tape, typically in analog VHS format, and more recently in digital format. However, VCR technology still exhibits important limitations. For example, present videotape recording systems, whether for digital or analog tape, do not support real-time random access; instead, real-time recording and playback proceed in strictly linear fashion.

Moreover, current VCRs do not provide simultaneous, independent read and write access. In other words, a user cannot view a taped program while simultaneously recording another program onto the same tape. For example, if a user wishes to record for later viewing a broadcast or cable presentation using a VCR, the user cannot use the same VCR to enjoy a different movie on tape while the broadcast is being taped. As another example, if a user sets her VCR to record a two-hour television movie starting at 8:00 p.m., and returns home at 8:30 p.m., she cannot simply sit down and watch the movie from its beginning, because her VCR is still occupied recording the broadcast. Consequently, the viewer must either wait until the broadcast ends at 10:00 p.m. (at which point she may be too tired to begin watching a two-hour movie), or else watch the movie out of order, i.e., watch the actual telecast from 8:30 until 10:00 p.m., and replay the taped version of the first half hour afterwards. Neither choice is satisfactory, and an improved VCR with simultaneous read/write capability is therefore desirable.

An additional problem posed by present technology involves managing storage space on tapes containing more than one program. For example, if a user decides to delete one program and store another, one of two  
5 situations may exist. If the deleted program is longer than the new program, the new program can be stored in the same "space" on the tape. However, some leftover space exists that is not large enough to store an entire program, and is probably not contiguous with other  
10 available space. Thus, it is likely that this amount of storage capacity will be wasted. If, on the other hand, the new program is longer than the deleted program, the new program cannot be stored in its entirety, unless a portion can be stored in non-contiguous space elsewhere  
15 on the tape. Consequently, there is a need in the art for an efficient storage management scheme, whereby video programs can be stored, deleted, and accessed with little or no wasted tape storage.

The above discussion demonstrates the need for an  
20 improved home video system that supports recording and playback of compressed video programs using an archival storage medium; allows simultaneous recording and playback using the same archival medium; provides efficient storage of multiple programs on a single  
25 videotape; supports a full array of trick mode functions; efficiently manages the contents of a video tape or other archival storage medium; and supports real-time random access to video program content, enabling truly interactive playback. As used herein, "video program"  
30 data refers to video data and/or audio data.

**SUMMARY OF THE INVENTION**

The present invention addresses the foregoing objectives by methods and apparatus that combine the features of an archival storage medium such as digital video tape: namely, potentially large storage capacity, but low tolerance for variable data rate, and essentially linear program access; with the complementary features of a relatively high-access storage device such as a fixed disk drive: namely, tolerance for a highly variable data rate, and random access capability, but relatively lower storage capacity.

In accordance with the present invention, video program data in compressed form is read from the archival medium, which may contain several feature-length movies or other video programs, and transferred to the high-access medium in segments. This transfer occurs at a rate faster than real-time, where "real-time" is defined as normal presentation speed of the video program (e.g. several minutes of program data may be transferred in a matter of seconds). Each segment to be transferred may contain, for example, a fixed amount of data corresponding to an average of one half hour of program content, as determined by the compression ratio which may vary over time. This data may then be read from the high-access medium and presented to the viewer. Enough program data is temporarily stored on the high-access medium for the viewer to be able to fast forward or rewind through the program, or to instantly jump to other destinations within an interactive video program, so long as those destination points lie within the segments currently stored in the high-access medium.

At the same time, simultaneous recording of another televised program to the same archival medium can be performed. A televised signal, or a signal from any other outside source, is compressed and written to the

high-access medium. Periodically, this data is transferred from the high-access medium to the archival medium. Thus, the high-access medium acts as a two-way buffer, retrieving data from and storing it to the archival medium in a manner that is transparent to the user.

The relatively large capacity of the high-access medium and its ability to act as a buffer can also be used to permit dubbing and editing from one tape to another. A user can load a substantial amount of program content from one tape to the high-access medium, change tapes, and then transfer the program data from the high-access medium to the new tape.

In a further aspect of the invention, program data need not be stored sequentially on the archival medium. For instance, the end of a movie might be physically located before the beginning on a digital videotape. A table mapping the various segments on the tape to the corresponding video programs or program segments is used to allow continuous presentation of the program to the viewer. Thus, a technique is disclosed including steps for partitioning the digital videotape into a plurality of segments of fixed and equal length, maintaining a list of the programs contained on the tape which is associated with a second list that specifies the segment or segments containing the compressed data associated with the program, and maintaining or periodically generating a list of "free" segments that have not been allocated to a particular video program.



**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 illustrates a "set-top" box connected to a television and videotape recorder.

5       Figure 2 illustrates the high-level architecture of the present invention.

      Figures 3a and 3b illustrate a high-level process and flow of video playback and recording in accordance with the present invention.

10       Figure 4 illustrates a high-access data storage medium sub-divided into ten segments.

      Figure 5 illustrates the logic used in transferring data between the archival storage medium and the high-access storage device.

15       Figure 6 illustrates the Input Interrupt logic.

      Figure 7 illustrates the Output Interrupt logic.

**DETAILED DESCRIPTION OF THE INVENTION**

The present invention involves a unique application of data control and management principles that allows a user to record video information in highly compressed form to an archival storage medium such as a digital video tape ("DVT"); to play video programs stored in compressed form from such archival storage medium; or to simultaneously record to such archival medium while viewing information or interacting with a program from the same archival medium. In the preferred embodiment, the invention utilizes the technique of variable bit rate ("VBR") encoding and decoding of video data (including soundtrack or audio data, and using a compression algorithm such as MPEG) to reduce the total amount of storage needed both on the archival medium and on a high-access storage device such as a hard disk that acts, in essence, as a two-way, first-in-first-out ("FIFO") buffer, passing data from the archival storage medium to the viewer, from an input source, such as a broadcast or cable television signal, to the archival medium, or both simultaneously.

The following detailed description is made with reference to Figures 1-7, in which like reference numerals indicate identical elements throughout all of the Figures.

A video program is typically organized as an ordered sequence of scenes or frames, with each frame defined by a two-dimensional array of picture elements or pixels. A pixel has characteristics of color and intensity of illumination that, when combined with other pixels, create an image or frame. For a given frame, the characteristics of each pixel can be represented digitally. Thus, a video program can be converted into a digital data stream that is an ordered sequence of bits which describes pixel values for each pixel of the array

during each frame of the video program. Audio associated with the program can also be converted into digital data, and can be synchronously combined with the video.

5       Once digitized, video data can be stored in compressed form. Thus, instead of representing each pixel within a frame by a set number of bits so that each frame requires the same amount of data storage, certain frames which contain uniform attributes, such as color or brightness, may be represented by fewer bits (i.e. less  
10       data) than other frames. In the same manner that pixels within a frame may be compared, frames within a sequence may be compared to reduce the total number of bits required to store a given sequence. A consequence of this type of data compression is that the number of bits  
15       required to store a single frame or sequence of frames is not constant.

      Because most transmission channels or storage devices have a fixed bandwidth, and can only support a limited data rate, buffer devices and control feedback  
20       mechanisms are typically used to even out the compression data rate so that it becomes limited over a period of time to the maximum value that can be supported. Unfortunately, this reduces compression efficiency, either by delivering unnecessarily high picture quality  
25       when a scene is easily compressed or by introducing compression artifacts when a scene is more difficult to compress, given the limited bandwidth that is available. The high-access storage device of the present invention avoids the need for such buffers and control feedback  
30       mechanisms, and permits the use of true variable bit rate ("VBR") compression schemes. This type of encoding is possible using, for example, the MPEG video compression standard.

      A prior art VCR cannot properly access and display  
35       VBR data. The reason for this is a mechanical

limitation. VCR motors are generally designed to move tape past a read head at a constant number of feet per second. The motors used in these types of systems are incapable of adjusting to a VBR data stream, which would, for instance, require a tape to be played at a continuously varying speed, where the speed required was a function of the amount of compression achieved within each frame or sequence of frames. An alternative technique of stopping and restarting the tape would be effective in accommodating VBR streams, but would be expensive and inefficient to implement, and would seriously compromise the reliability of both helical and linear scan tape transport mechanisms that can be produced with current technology.

A "high-access" medium, such as a disk drive like those used in many computer systems, is capable of handling variable data rates. Presently, however, the storage disks used in such drives are generally incapable of storing more than one to two hours of video data.

Thus, a major limitation in the prior art is that it is impractical to store highly compressed video data on an archival medium such as video tape because playback devices for these media cannot easily adjust to the variable data rate required for VBR encoding or trick mode display functions such as slow motion, fast search, or reverse play. High-access media, while allowing variable-speed playback and recording of compressed data, have the limitation that they generally cannot hold the large quantity of information, in excess of one feature length film, that archival media can contain.

To overcome the shortfalls discussed above, the present invention uses the unique control/management architecture detailed below, which combines the best features of both archival and high-access storage media.

In addition, the present invention provides the ability to handle data from two sources, output from an archival medium and input from an external source such as a broadcast or cable signal, to provide the user with the ability to play and record using the same archival medium, e.g. a DVT, simultaneously.

#### Overall Architecture

Figure 2 illustrates the general, high level architecture of the present invention. In the embodiment illustrated, the present invention is integrated into a single "set-top box," 11 so-called because it is a physically separate box that is coupled to a viewer's television 12 and VCR 13 (as illustrated in Figure 1), although the invention could incorporate the VCR 13 itself, eliminating the need for another box. As shown in Figure 2, the set-top box contains a control/management device 14 coupled to a user interface 15. The user interface 15 may be a remote control, through which a user may issue commands such as play, stop, record, or trick-mode function commands such as search, fast forward and the like. If an interactive program is being viewed, the viewer would use the interface to respond to prompts in the program, and his or her responses would direct the control/management device 14 to access a different portion of the program.

The control/management device 14 also receives status information from an input buffer 16, which provides temporary storage for incoming signals, possibly encoded and encrypted, such as broadcast or cable data streams. The input buffer 16 signals to the control/management device 14 when it has achieved a certain level of fullness, so that its contents may be written to the disk 17 at the direction of the control/management device 14. The control/management device 14 also receives updates from an output buffer 18

which tells the control/management device 14 when it achieves a certain state of "emptiness" and is ready to receive more data from the disk 17. The output buffer 18 also sends data to the television set 12 or monitor after  
5 decoding at the direction of the control/management device 14. The decoder 19 can be preceded by a data decryption unit if access control is in use.

The control/management device 14 also sends and receives signals from the archival storage medium 20, in  
10 the preferred embodiment a digital video tape, monitoring and commanding tape position based on the current status of information stored on the high access storage device 17, in the preferred embodiment a hard disk, and on user commands issued through the user interface 15. Finally,  
15 the control/management device 14 communicates with the high-access storage device 17, directing it to accept data from the input buffer 16 or from the archival storage medium 20 via a buffer, or to transfer data to  
20 the output buffer 18, or the archival storage medium 20, and indicating which segments are to be read from or written to.

Since currently available high-access storage devices are able to support only one transfer at a time, all of the transferring steps performed by the  
25 control/management device 14 are typically prioritized and interleaved. All of the transfers would be sequenced to insure that the necessary amount of program data is available for display to the user, while at the same time, the input and output buffers (16 and 18) are kept  
30 at required levels of fullness (or emptiness). In addition, the interleaved transfers are accomplished at a rate faster than "real time," i.e. faster than the normal presentation rate of the video data.

Alternatively, if the high-access storage device 17  
35 is capable of supporting multiple, simultaneous

transfers, then only the transfer to/from the archival medium 20 would need to be interleaved and performed at a rate faster than real time. The transfers from the input source to the high-access storage device 17 and from the high-access storage device 17 to the decoder 19 and display apparatus could in principle be performed in real time and without the need for input and output buffers.

Figures 3a and 3b illustrate the overall processes for storage, retrieval, playback, and recording in accordance with the present invention. Figure 3a illustrates the process of playing a video program stored on the archival medium 20. Data is first transferred to the high-access medium 17, then decoded and displayed to the viewer (steps represented by elements 23 and 24). The process is repeated as necessary so that a sufficient amount of video data, both ahead of and behind the portion of the program currently being displayed, is available on the high-access storage device 17 (step represented by element 25).

Figure 3b illustrates the recording process of the present invention. As shown, a televised signal is encoded and stored in a temporary buffer, encrypted if necessary and desired, and then stored to the high-access medium 17 (steps represented by elements 26, 27, and 28). If sufficient data has accumulated in high-access storage, and if the archival storage medium 20 is then available, the data is then transferred to the archival medium 20 (steps represented by elements 29, 30, and 31). This process is repeated until the entire televised program has been recorded on the archival medium 20.

The processes illustrated in Figures 3a and 3b are not always independent. Rather, during simultaneous recording and playback, access to the high-access storage device for reading or writing is prioritized such that there is always sufficient program data available for

display and sufficient space in the buffer 16 containing data from the televised signal to prevent overflow (and thus, the possibility that a portion of the televised program will not be stored).

5           Figure 4 illustrates a high-access storage device divided into ten segments. The number of segments may be varied depending on disk capacity and the desired amount of data to be stored in each segment. As illustrated by Figure 4 (for purposes of simplicity and explanation),  
10       data is stored to the disk in a clockwise direction. Data is read from the disk in a clockwise direction for forward playback, counter-clockwise for reverse playback. The current segment being written to is designated by a write pointer 33, designated "i" in the illustration.  
15       The current disk segment being read from is designated by a read pointer 32, designated "j" in the illustration. Next and previous read segments are designated by "j+1" and "j-1" respectively.

Each disk segment is mapped to a corresponding tape  
20       segment. Thus, tape segment "m" corresponds to disk segment "j", and tape segment "n" corresponds to disk segment "i". Each disk or tape segment can contain a set amount of compressed video data. On the tape medium, each segment would consist of a physically contiguous  
25       portion of the tape. A segment on the disk, however, may actually consist of several physically separate spaces on the magnetic medium, in other words, one chronological portion of the video data (as seen when played back in real time), although designated as one "segment" need  
30       not be stored in one place on the disk. For purposes of this illustration, it is assumed that each segment contains, on average, one half hour of program data. So, for example, the information in tape segment "m" would be copied to disk segment "j" (and retained for some time)  
35       as necessary to maintain enough video information on the



disk for the user to be able to view, fast forward, or  
rewind through a program. As discussed previously,  
accessing information from the disk 17, rather than  
directly from the DVT 20, allows the viewer to take  
5 advantage of the high-access medium 17 to jump in near  
real time from one part of a program to another.  
Similarly, data collected on the disk 17 from an outside  
source (such as broadcast or cable) through the input  
buffer 16 and stored in segment "i" of the disk would be  
10 written to tape segment "n" at the direction of the  
control/management device 14.

Thus, through the procedures detailed below, the  
control/management device 14 handles data transfer  
between outside source, display 12, tape 20, and disk 17  
15 such that the user may view a taped program, via tape  
segments stored to disk, while the same tape is recording  
information from the outside source, again through data  
previously stored to segments of the high-access storage  
device.

20 Example: Simultaneous Tape Playback  
and Recording

Referring again to Figure 4, the read pointer 32 is  
currently in segment no. 3 (i.e. j=3). Data from this  
segment is currently being decoded and displayed to the  
25 viewer. Segment no. 4 contains the next half hour of  
programming information, while segment no. 2 contains the  
previous half hour. If the viewer desires to watch the  
program at normal speed, the read pointer 32 will rotate  
clockwise, next pointing to segment no. 4. Eventually,  
30 older data, such as that in segment no. 2, will be  
overwritten with new information. However, if the viewer  
wishes to "rewind" to an earlier portion of the program,  
the read pointer 32 will rotate counter-clockwise to  
segment no. 2. If he or she wishes to "fast forward" the  
35 read pointer 22 will rotate clockwise at a higher speed

than during normal playback. In fact, the speed of read pointer 32 rotation is proportional to the commanded playback speed.

5 At the same time, the write pointer 33 is currently in segment no. 9. After this segment becomes completely filled with data from the input buffer 6, a new segment, in the preferred embodiment, the available segment farthest away from the read pointer 32 (as shown in the flow chart of Figure 6 detailing the input interrupt  
10 function, discussed later), will be selected. In this example, segment nos. 7 and 8 have been completely filled, but have not yet been transferred to tape. Segment nos. 0, 1, 5, and 6 are free segments that have not yet been allocated for reading or writing.

15 Two variables are defined to indicate the status of each disk segment, where the segment number is equal to "k". Variable "rd\_list[k]" indicates whether segment k contains valid data for reading. If segment k does contain valid data, variable rd\_list[k] = 1. Otherwise,  
20 rd\_list[k] = 0. The management/control program uses this value to determine where to write the next tape segment to the disk. Through logic described in the flowchart of Figure 6, data is written to the free segment that is physically farthest from the current segment being read  
25 (steps represented by elements 50-53).

Variable "wr-list[k]" indicates the status of each segment for writing. If segment k is not currently in use for writing (i.e. it is not currently being written to, and is not full and waiting to have the data stored  
30 therein transferred to tape), then wr\_list[k] = -1. If k = i, in this example 9, then wr\_list[k] is set to the full disk segment that contains the oldest data that has not been transferred to tape. Thus, in the present example, wr\_list[9] = 7, and segment 7 is the next  
35 segment whose data will be transferred to tape. The

following table shows, for this example, the values of these two variable for each segment of the disk.

Table I

	Segment No. [k]	rd_list[k]	wr_list[k]
5	0	0	-1
	1	0	-1
	2	1	-1
	3	1	-1
	4	1	-1
10	5	0	-1
	6	0	-1
	7	0	8
	8	0	9
	9	0	7

15 After segment 7 has been transferred, the next segment to be transferred (the next oldest full segment) is wr\_list[wr\_list[i]] (in this example, 8), followed by wr\_list[wr\_list[wr\_list[i]]]. This iterative process continues until the result equals i, in this example 9,

20 which cannot yet be transferred because it is not yet full. After each segment is transferred it is released by setting the wr\_list value for that particular segment to -1.

25 The entire process for transferring data between tape 20 and disk 17 is detailed in the flowchart of Figure 5. After setting initial values, the first decision point 35 is reached. It is determined at this time whether there are any full disk segments that need to be written to tape. If there are (i.e. if, in Figure

30 5, "i0" is not equal to "i"), then the process of the present invention transfers the oldest full segment, updates the value of wr\_list[i], and sets wr\_list for the segment that has just been transferred to -1 (indicating that this segment is now available) (steps represented by

35 elements 36 and 37). This loop (represented by elements 24-28) is repeated until all full segments have been transferred to tape.

At this point, the process of the present invention checks the status of data on the high-access medium available for output to the viewer. If the segments both behind and ahead of the read pointer 32 are loaded with data from the corresponding tape segments, i.e. if there is sufficient program information on the disk so that the viewer may fast forward and rewind to "adjacent" portions of the presentation, then the process returns to the write loop (elements 39 and 43). If either the segment before or after the segment currently being read does not contain valid data for reading, then the process finds an available disk segment and transfers the appropriate tape segment (steps represented by elements 39-46).

This main process may be interrupted by the Input Interrupt function detailed in the flowchart of Figure 6, or the Output Interrupt function detailed in the flowchart of Figure 7. The Input Interrupt is triggered when the input buffer 16 achieves a certain level of fullness, indicating that data must be removed and transferred to disk to prevent the input buffer 6 from overflowing. Each interrupt causes a block of data to be sequentially written to disk segment "i," (element 47) and this process continues until disk segment "i" becomes full. A new segment is then selected from the list of available segments, and the write pointer 33 is placed at the beginning of that segment. If simultaneous playback is not in progress, then this new segment can be determined simply by incrementing the value of "i" (elements 50 and 53). During simultaneous recording and playback, the process of the present invention places the write pointer 33 as far from the current position of the read pointer 32 as possible (setting  $i = j + (\text{number of segments})/2$ ), and then finds the nearest free segment and designates it for writing (elements 50-60). Data is then

transferred from the input buffer 16 to the beginning of the designated disk segment.

5 Likewise, an Output Interrupt is triggered when the output buffer 18 achieves a certain level of emptiness, and is, thus, ready to receive more program information. Data is then transferred from the segment indicated by the current position of the read pointer 32 to the output buffer 18 (step represented by element 63). In the preferred embodiment of the invention, the Output  
10 Interrupt would have a lower priority than the Input Interrupt to prevent the input buffer from overflowing.

The frequency of the output interrupts will vary depending on the playback speed selected by the user. For example, during pause or slow motion, video data will  
15 be removed from the output buffer 18 at a slower than normal rate, and therefore fewer transfers from the disk will be needed to maintain the output buffer 18 at the desired level of fullness. Similarly, during fast forward or reverse searches, the decoder 19 will remove  
20 video data from the output buffer 18 at a higher rate, thereby requiring more frequent transfer from the disk 17 in order to prevent the output buffer 18 from underflowing. At certain fast forward or reverse playback speeds, the decoder 19 may be unable to process  
25 all the data that is available, and in these cases, the decoder 19 can be instructed by the control/management unit 14 to omit certain selected frames, or alternatively, additional devices can be inserted after the disk 17 and before the decoder 19 (preferably between  
30 the disk 17 and the output buffer 18) in order to delete the selected frames from the data stream.

It is possible that the disk throughput may be insufficient to simultaneously service the input, output, and tape buffers when high playback speeds are demanded  
35 by the user. In such cases, the control/management unit

14 can instruct the disk to skip over certain sections of the data stream when transferring data to the output buffer 18. Ideally, the sections that are omitted would be the frames that are not decoded and displayed. In  
5 practice, accurate specification of these boundaries may be difficult without compromising disk drive performance.

In the discussion above, it has been assumed for convenience that the tape segments referenced by subscripts "m" and "n" were sequential, i.e. that a video  
10 program is stored on tape in chronological order. In practice, and in the preferred embodiment, these segments can be stored in random order by maintaining a directory which maps the chronological segment number to an actual sequence number on the DVT 20. In this manner, the tape  
15 20 is used more efficiently, because certain randomly distributed "free" segments may be allocated as needed until the tape 20 is full. In other words, it would be less efficient if each program, perhaps a two hour film, had to be stored in one block of magnetic memory. Fewer  
20 features would fit on one tape, particularly as some programs were overwritten by new information not of identical length.

The discussion above demonstrates several advantages of the present invention. First, it allows the user to  
25 simultaneously playback from and record to the same high capacity storage medium such as a digital video tape. Thus, a viewer may watch a program stored on tape while recording another, or may time shift a program he or she is presently recording by less than the entire program  
30 time. In addition, the present invention allows a user to archive and easily access and manage an entire library of programs on a single video tape.

Other Variations

Other embodiments and modifications within the spirit of the present invention will occur to those of ordinary skill in the art in view of these teachings, including further variations on, and alternatives to, the illustrative processes that have been disclosed herein, Such embodiments and algorithms remain within the scope of the present invention, which is limited only by the following claims.

We claim:

1. A method for recording digitally compressed program data onto a high-capacity archival medium, said method utilizing a high-access storage device, and comprising the following steps:
  - 5 partitioning the high-access storage device into segments;
  - selecting a current segment of the high-access storage device;
  - receiving compressed program data and storing said program data into the current segment of the high-access storage device;
  - 10 copying one or more segments from the high-access storage device to the high-capacity archival medium by transferring program data contained within said segments
  - 15 at a rate faster than real time.
2. The method of Claim 1, further utilizing an input buffer, and wherein the step of receiving and storing further comprises the following steps:
  - 5 receiving compressed program data and storing said program data into the input buffer;
  - transferring program data from the input buffer to the current segment of the high-access storage device, said transfer being performed at a rate that is faster than real time.
3. The method of Claim 2, wherein the step of transferring program data from the input buffer to the high-access storage device is interleaved with the step of copying full segments from the high-access storage device to the high-capacity archival medium.
- 5
4. The method of Claim 2, wherein the step of transferring data from the input buffer to the high-



access storage device is performed at least as often as necessary to prevent the input buffer from overflowing.

5. The method of Claim 1, wherein during the step of partitioning, all segments are made identical in size.

6. The method of Claim 1, wherein the high-access storage device comprises a hard disk drive, and the high-capacity archival medium comprises digital video tape.

7. A method for playback of digitally compressed program data stored on a high-capacity archival medium, said method comprising the following steps;

- 5 identifying segments of program data on the high-capacity archival medium;
- selecting a segment to be transferred from the high-capacity archival medium to a high-access storage device, said selected segment containing program data which may be used for future decoding and display;
- 10 copying said selected segment from the high-capacity archival medium to the high-access storage device by transferring the program data contained within said selected segment at a rate that is faster than real time;
- transferring program data from the high-access storage device to decoder and display means.
- 15

8. The method of Claim 7, further utilizing an output buffer, and wherein the step of transferring further comprises the following steps:

- transferring program data from the high-access storage device to the output buffer, said transfer being performed at a rate that is faster than real time;
- transferring program data from the output buffer to decoder and display means.
- 5

9. The method of Claim 8, wherein the step of transferring program data from the high-access medium to the output buffer is interleaved with the step of copying the selected segment from the high-capacity archival medium to the high-access storage device.

10. The method of Claim 8, wherein the step of transferring data from the high-access storage device to the output buffer is performed at least as often as necessary to prevent the output buffer from underflowing.

11. The method of Claim 7, wherein during the step of partitioning, all segments are made identical in size.

12. The method of Claim 7, wherein the high-access storage device comprises a hard disk drive, and the high-capacity archival medium comprises digital video tape.

13. The method of Claim 7, further comprising the following steps, thereby allowing playback of digitally compressed programs stored on a high-capacity archival medium while simultaneously recording a digitally compressed program onto the same high-capacity medium:

- partitioning the high-access storage device into segments;
- selecting a current segment of the high-access storage device;
- receiving compressed program data and storing said program data into the current segment of the high-access storage device;
- copying one or more segments from the high-access storage device to the high-capacity archival medium by transferring the program data contained within said segments at a rate that is faster than real time.

14. The method of Claim 13, further utilizing an input buffer, and wherein the step of receiving further comprises the following steps:

5 receiving compressed data and storing said program data in to the input buffer;

transferring program data from the input buffer to the high-access storage device, said transfer being performed at a rate that is faster than real time.

15. The method of Claim 14, wherein the step of transferring program data from the input buffer to the high-access storage device and the step of copying program data from the high-access storage device to the high-capacity archival medium and the step of copying program data from the high-capacity archival medium to the high-access storage device are interleaved.

16. The method of Claim 14, further utilizing an output buffer, and wherein the step of transferring program data from the high-access storage device to the decoder and display means further comprises the following steps:

transferring program data from the high-access storage device to the output buffer, said transfer being performed at a rate that is faster than real time;

10 transferring program data from the output buffer to the decoder and display means.

17. The method of Claim 16, wherein the step of transferring program data from the high-access storage device to the output buffer and the step of copying program data from the high-access storage device to the high-capacity archival medium and the step of copying program data from the high-capacity archival medium to the high-access storage device and the step of

transferring program data from the input buffer to the high-access storage device are interleaved.

18. A method for storing and maintaining multiple programs on a high-capacity archival medium, said method comprising the steps of:

5 partitioning the high-capacity archival medium into a plurality of segments, wherein all segments are identical in size;

maintaining a list of the programs contained in the archival medium, wherein each program is associated with a second list, said second list specifying one or more  
10 segments containing compressed data associated with said program;

maintaining or periodically generating a list of free segments that have not been allocated to a particular program.

19. The method of Claim 18, wherein free segments are identified and allocated as needed each time a new program is added to the archival medium.

20. The method of Claim 18, wherein corresponding segments are released and become free segments each time a video program is deleted from the archival medium.

21. An apparatus for recording digitally compressed program data, said apparatus comprising:

a high-capacity archival storage medium;  
a high-access storage device;  
5 means for partitioning the high-access storage device into segments;

means for selecting a current segment of the high-access storage device;

means for receiving compressed program data and  
10 storing said program data into the current segment of the  
high-access storage device;

means for copying one or more segments from the  
high-access storage device to the high-capacity archival  
medium by transferring program data contained within said  
15 segments at a rate faster than real time.

22. The apparatus of Claim 21, further comprising  
an input buffer, and wherein the means for receiving and  
storing further comprises the following:

means for receiving compressed program data and  
5 storing said program data into the input buffer;

means for transferring program data from the input  
buffer to the current segment of the high-access storage  
device, said transfer being performed at a rate that is  
faster than real time.

23. The apparatus of Claim 22, further comprising  
means for interleaving the transfer of program data from  
the input buffer to the high-access storage device with  
the copying of one or more segments from the high-access  
5 storage device to the high-capacity archival medium.

24. The apparatus of Claim 22, further comprising  
means for transferring data from the input buffer to the  
high-access storage device at least as often as necessary  
to prevent the input buffer from overflowing.

25. The apparatus of Claim 21, wherein all segments  
on the high-access storage device are identical in size.

26. The apparatus of Claim 21, wherein the high-  
access storage device comprises a hard disk drive, and

the high-capacity archival medium comprises digital video tape.

27. An apparatus for playback of digitally compressed program data, said apparatus comprising:

a high-capacity archival medium;

a high-access storage device;

5 means for identifying segments of program data on the high-capacity archival medium;

means for selecting a segment to be transferred from the high-capacity archival medium to a high-access storage device, said selected segment containing program data which may be used for future decoding and display;

10 means for copying said selected segment from the high-capacity archival medium to the high-access storage device by transferring the program data contained within said selected segment at a rate that is faster than real time;

15 means for transferring program data from the high-access storage device to decoder and display means.

28. The apparatus of Claim 27, further comprising an output buffer, and wherein the means for transferring program data from the high-access storage device further comprises the following:

5 means for transferring program data from the high-access storage device to the output buffer, said transfer being performed at a rate that is faster than real time;

means for transferring program data from the output buffer to decoder and display means.

29. The apparatus of Claim 28, further comprising means for interleaving the transfer of program data from the high-access medium to the output buffer with the

5 copying of the selected segment from the high-capacity archival medium to the high-access storage device.

30. The apparatus of Claim 28, further comprising means for transferring data from the high-access storage device to the output buffer at least as often as necessary to prevent the output buffer from underflowing.

31. The apparatus of Claim 27, wherein all segments on the high-capacity archival storage medium are identical in size.

32. The apparatus of Claim 27, wherein the high-access storage device comprises a hard disk drive, and the high-capacity archival medium comprises digital video tape.

33. The apparatus of Claim 27, further comprising: means for partitioning the high-access storage device into segments;

5 means for selecting a current segment of the high-access storage device;

means for receiving compressed program data and storing said program data into the current segment of the high-access storage device;

10 means for copying one or more segments from the high-access storage device to the high-capacity archival medium by transferring the program data contained within said segments at a rate that is faster than real time.

34. The apparatus of Claim 33, further comprising an input buffer, and wherein the means for receiving and storing compressed program data further comprises:

5 means for receiving compressed data and storing said program data in to the input buffer;

means for transferring program data from the input buffer to the high-access storage device, said transfer being performed at a rate that is faster than real time.

35. The apparatus of Claim 34, further comprising means for interleaving the transfer of program data from the input buffer to the high-access storage device and the copying of program data from the high-access storage device to the high-capacity archival medium and the  
5 copying of program data from the high-capacity archival medium to the high-access storage device.

36. The apparatus of Claim 34, further comprising an output buffer, and wherein the means for transferring program data from the high-access storage device to the decoder and display means further comprises:

5 means for transferring program data from the high-access storage device to the output buffer, said transfer being performed at a rate that is faster than real time;

means for transferring program data from the output buffer to the decoder and display means.

37. The apparatus of Claim 36, further comprising means for interleaving the transfer of program data from the high-access storage device to the output buffer and the copying of program data from the high-access storage device to the high-capacity archival medium and the  
5 copying of program data from the high-capacity archival medium to the high-access storage device and the transfer of program data from the input buffer to the high-access storage device.

38. An apparatus for storing and maintaining multiple programs on a high-capacity archival medium, said apparatus comprising:



5 means for partitioning the high-capacity archival medium into a plurality of segments, wherein all segments are identical in size;

means for maintaining a list of the programs contained in the archival medium, wherein each program is associated with a second list, said second list  
10 specifying one or more segments containing compressed data associated with said program;

means for maintaining or periodically generating a list of free segments that have not been allocated to a particular program.

39. The apparatus of Claim 38, further comprising means for identifying and allocating free segments as needed each time a new program is added to the archival medium.

40. The apparatus of Claim 38, further comprising means for releasing corresponding segments to become free segments each time a video program is deleted from the archival medium.

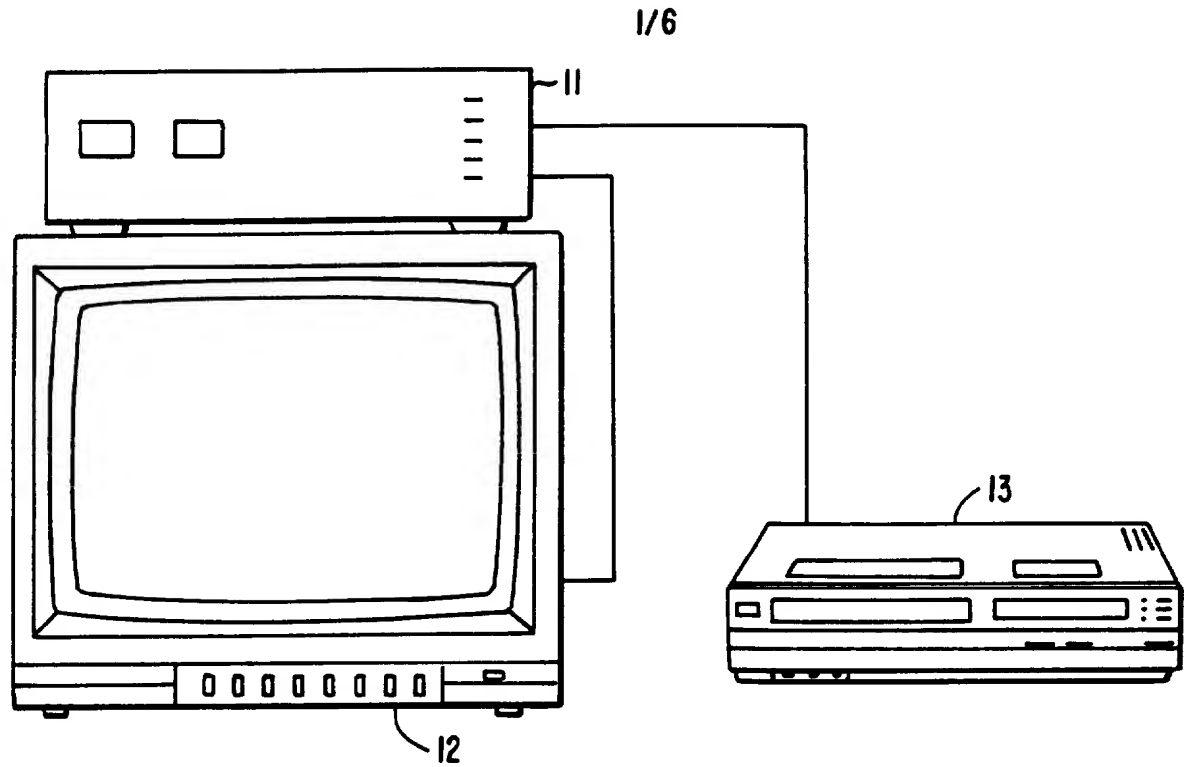


FIG. 1.

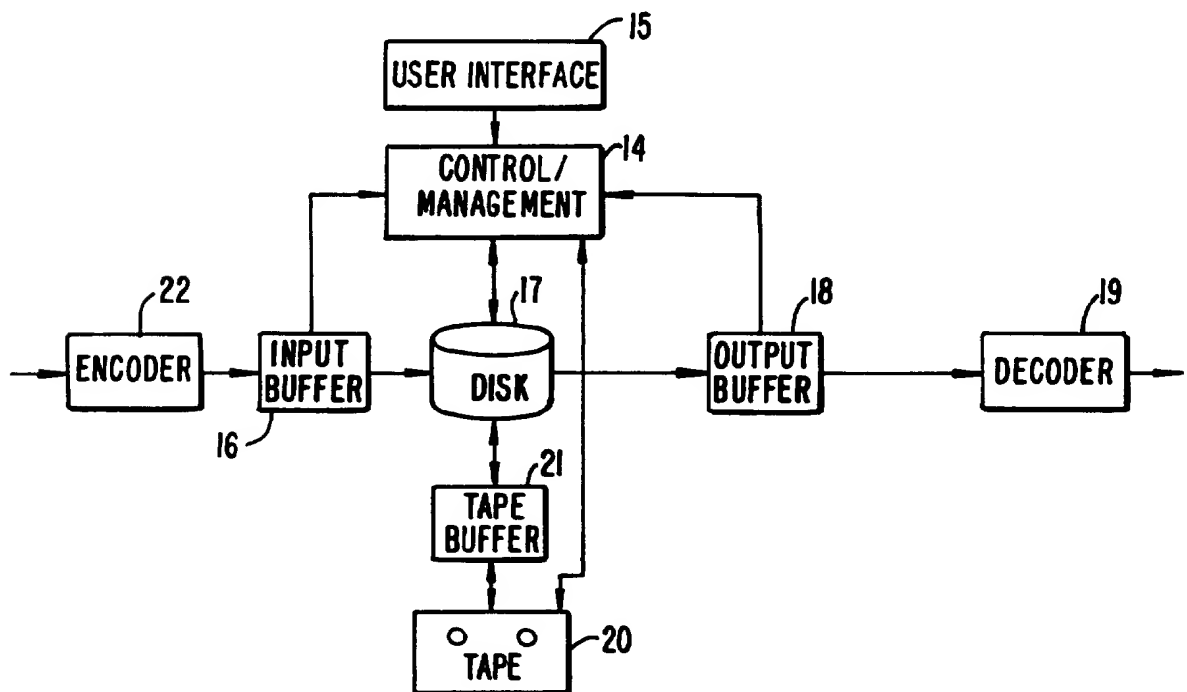
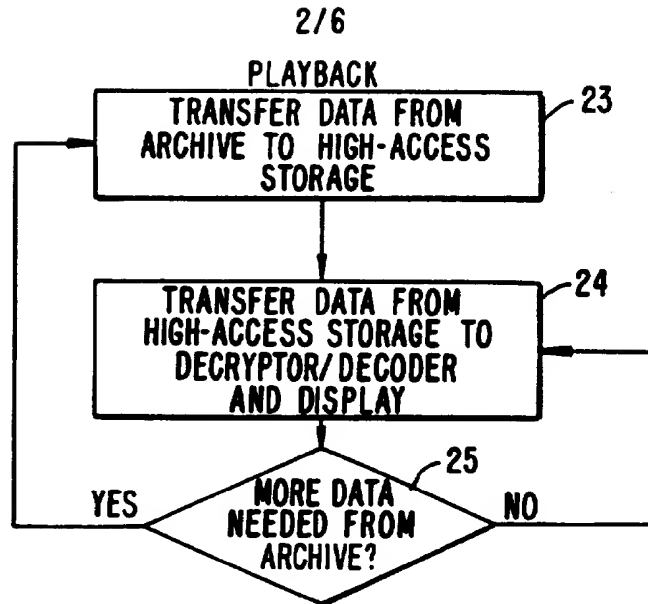
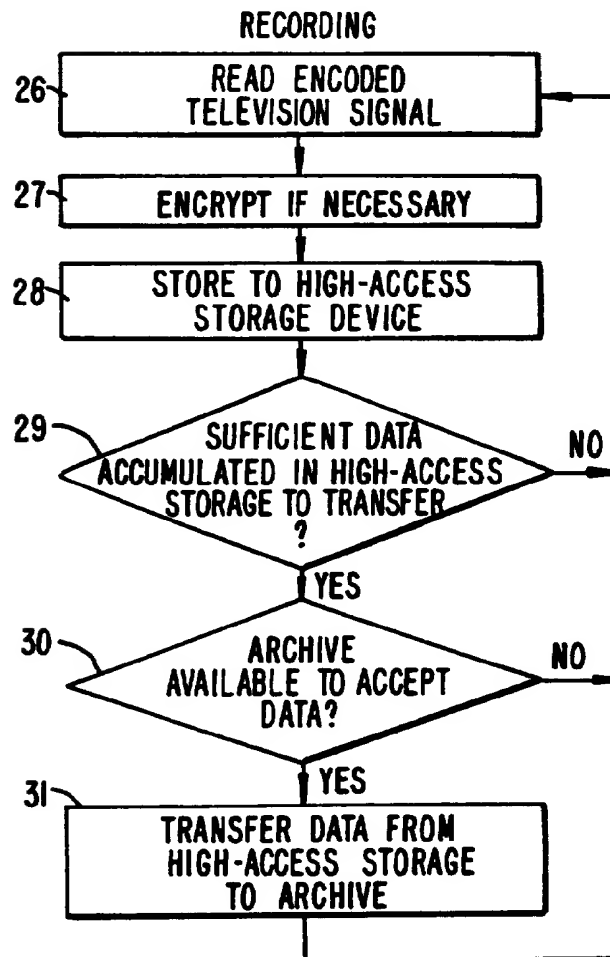
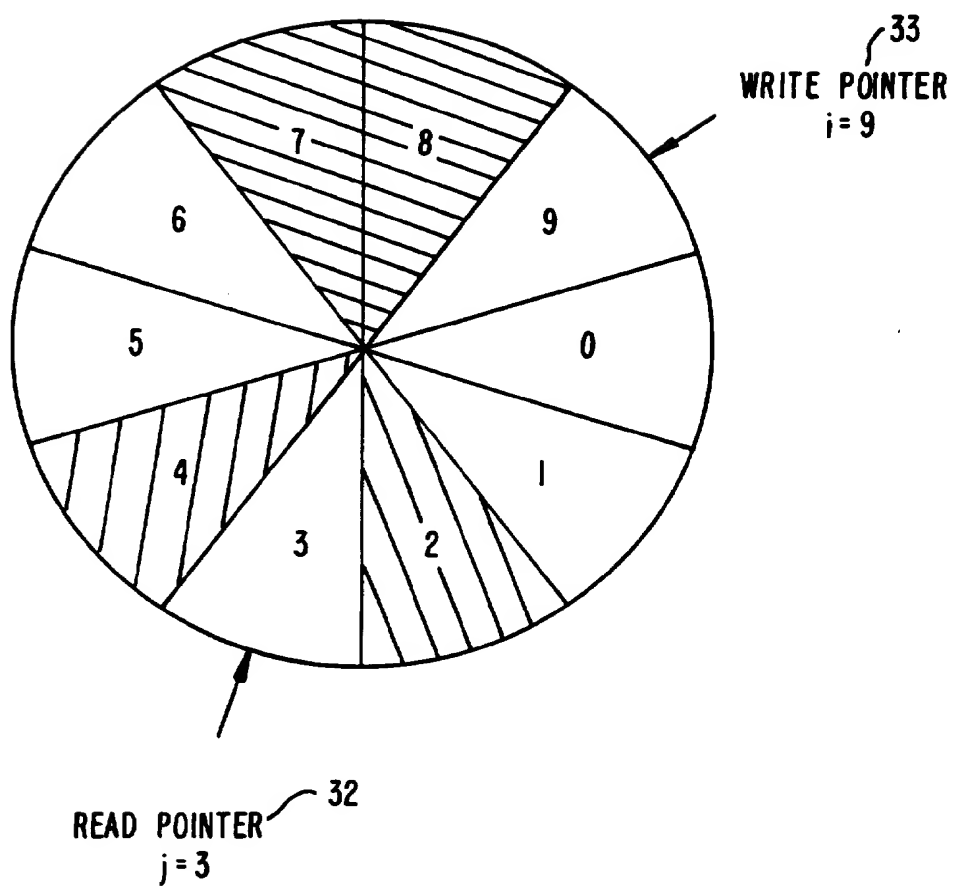


FIG. 2.

**FIG. 3A.****FIG. 3B.**

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**FIG. 4.**

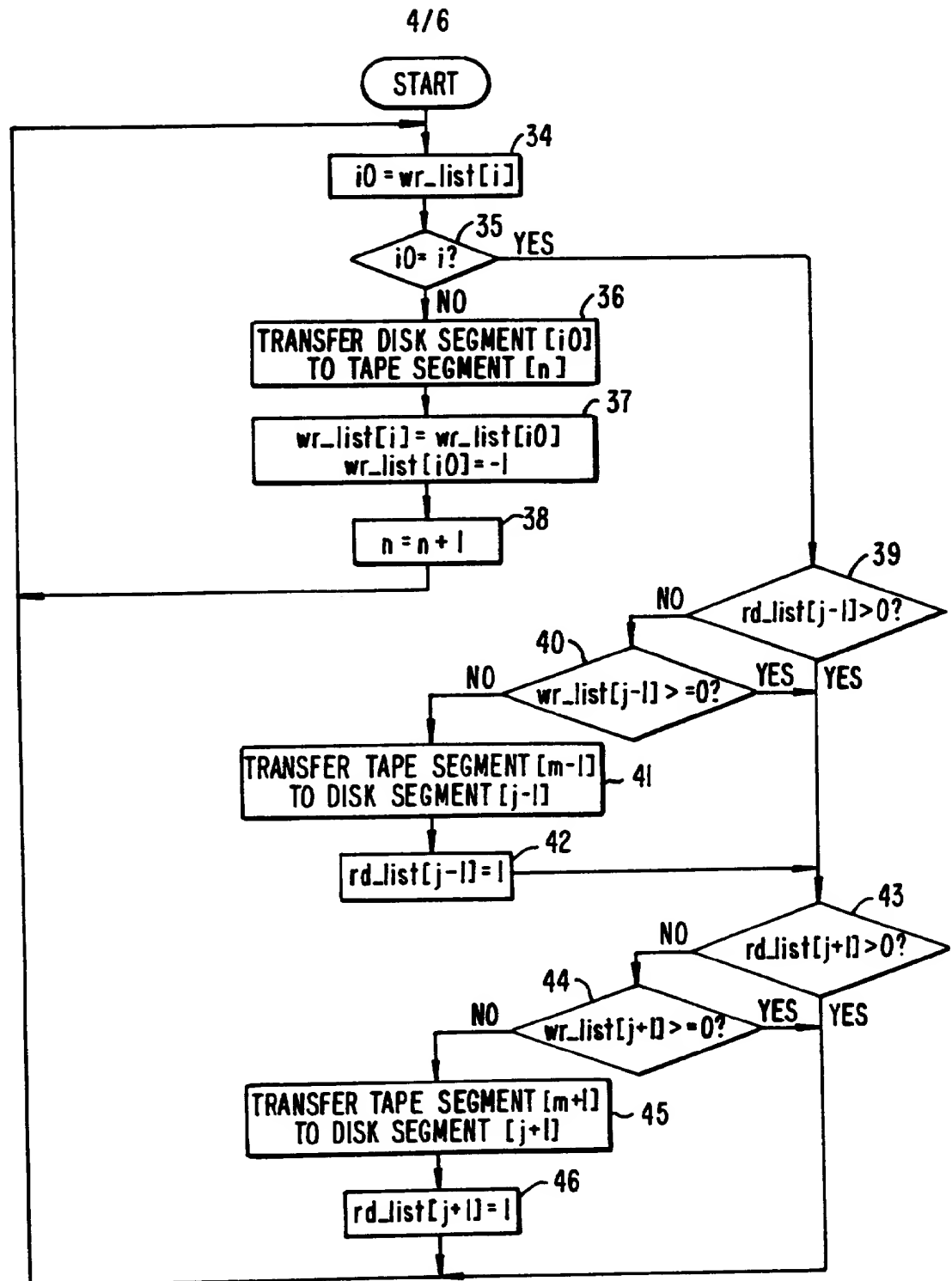


FIG. 5.

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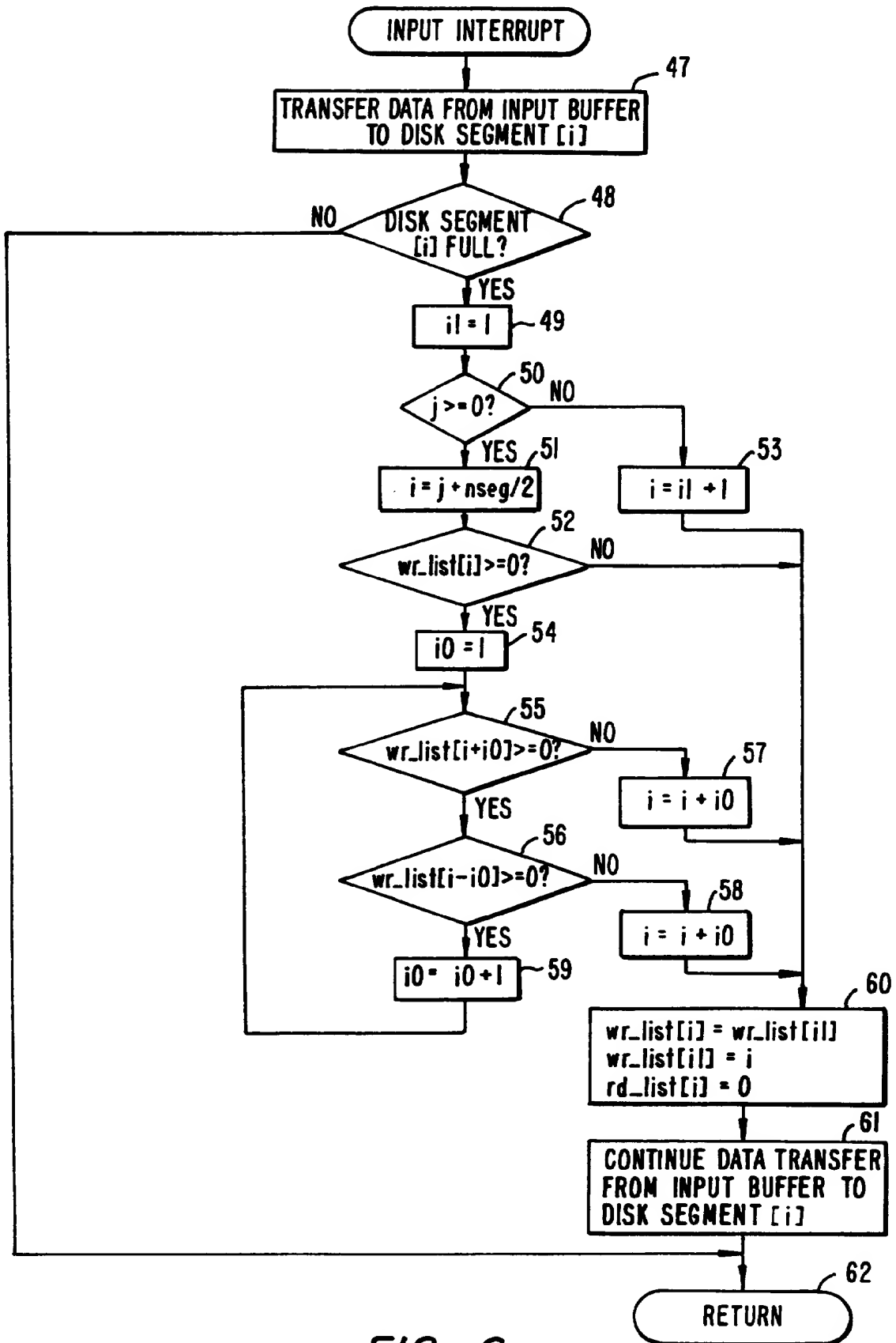
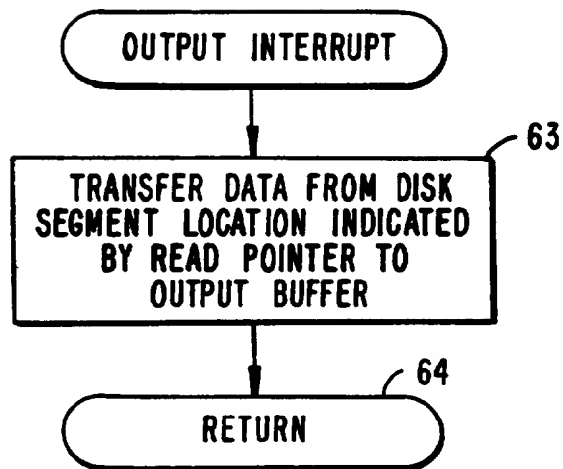


FIG. 6.  
SUBSTITUTE SHEET (RULE 26)

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**FIG. 7.**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 96/05528

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04N9/804

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04N G11B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US,A,4 989 191 (KU0) 29 January 1991</p> <p>see column 7, line 15 - line 34 see column 11, line 20 - column 15, line 10 see column 20, line 25 - column 27, line 17; figures 1-23</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	<p>1,5-7, 11-13, 18-21, 25-27, 31-33, 38-40</p>

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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\*Z\* document member of the same patent family

Date of the actual completion of the international search

9 September 1996

Date of mailing of the international search report

24.09.96

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Verleye, J



# INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/US 96/05528

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>EP,A,0 422 447 (KABUSHIKI KAISHA TOSHIBA ET AL.) 17 April 1991</p> <p>see column 10, line 15 - line 55  see column 19, line 28 - column 20, line 49  see column 21, line 51 - column 22, line 9  see column 41, line 58 - column 50, line 8; figures 1,21,24,53-59</p> <p>---</p>	<p>1,2,4,  6-8,10,  12-14,  16,21,  22,24,  26-28,  30,  32-34,36</p>
A	<p>EP,A,0 212 389 (DEUTSCHE THOMSON-BRANDT GMBH) 4 March 1987  see the whole document</p> <p>---</p>	<p>1,7,13,  21,27,33</p>
A	<p>WO,A,93 11633 (ACCOM, INC.) 10 June 1993  see the whole document</p> <p>---</p>	<p>1,7,13,  21,27,33</p>
X	<p>EP,A,0 621 599 (SONY CORPORATION) 26 October 1994  see column 1, line 1 - column 7, line 17; figures 1-7</p> <p>-----</p>	<p>18-20,  38-40</p>

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/05528

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. Claims 1-17,21-37: (see annex)
2. Claims 18-20,38-40: (see annex)

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/210**

1. Claims 1-17,21-37: Digitally compressed program data are recorded on or played back from a high-capacity archival medium by way of a high-access storage device, using a mapping transfer procedure between the archival medium and the storage device.
2. Claims 18-20,38-40: Apparatus for storing, maintaining and managing multiple programs on a high-capacity archival medium.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. onal Application No

PCT/US 96/05528

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4989191	29-01-91	NONE	
EP-A-422447	17-04-91	JP-A- 3117181	17-05-91
		CA-A- 2026503	30-03-91
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		KR-B- 9409557	14-10-94
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EP-A-621599	26-10-94	JP-A- 6309120	04-11-94